



2141

Electronic Filing System (EFS) Data  
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Technology Center 2100

EFS ID: 24044  
Application ID: 09427811  
Title of Invention: SYSTEM AND METHOD FOR  
SCHEDULING HARVESTING OF  
PERSONAL INFORMATION  
First Named Inventor: Paul Kaib  
Domestic/Foreign Application: Domestic Application  
Filing Date: 1999-10-27  
Effective Receipt Date: 2003-03-05  
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 3799  
Attorney Docket Number: 22022.0007  
Digital Certificate Holder: cn=David Scott Kerven, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: CLJ/HqhjSVZAq3IRr3Qi2g==





# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Information  
Disclosure Statement

Application Number: 09427811

Attorney Docket Number: 22022.0007

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## SYSTEM AND METHOD FOR SCHEDULING HARVESTING OF PERSONAL INFORMATION

First Named Inventor: Mr. Paul Kaib

### SUBMITTED BY

Name: Dr. David S. Kerven  
Registration Number: 43,712  
Electronic Signature Mark: /s/ dsk Date Signed: 20030305

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

us-information-disclosure-statement

220227U1V9ids.xml

**Comments:**

Pursuant to the requirements of 37 C.F.R. 1.56, submitted herewith on the accompanying electronic 1449 equivalent is a listing of documents known to the Applicants and/or their attorneys. Applicants submit this Supplemental IDS after issuance of the first office action but prior to a final office action or notice of allowance pursuant to 37 C.F.R. 1.97(c). The certification under 37 CFR 1.97(e) appears in the accompanying electronic 1449 equivalent. Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested. Applicants do not believe that any fee is due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any excess, to Deposit Account No. 14-0629.



# Electronic Information Disclosure Statement

## SYSTEM AND METHOD FOR SCHEDULING HARVESTING OF PERSONAL INFORMATION

Application:



09/427811

Confirmation: 3799

Applicant(s): Paul Kaib

Docket  
Number: 22022.0007

Group Art  
Unit: 2141

Examiner: Mirza, A.

search string: ( 6233592 or 6199082 or 6128655 or 6088711 or 5978780 or 5878215 or 5860073 or 5590196 or 5619716 or 5724595 or 6031354 or 6438580 or 6473740 ).pn.

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■ *That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.*

### US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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No.					
P01	6233592	2001-05-15		Schnelle et al.	
P02	6199082	2001-03-06		Ferrel et al.	
P03	6128655	2000-10-03		Fields et al.	
P04	6088711	2000-07-11		Fein et al.	
P05	5978780	1999-11-02		Watson	
P06	5878215	1999-03-02		Kling et al.	
P07	5860073	1999-01-12		Ferrel et al.	
P08	5590196	1996-12-31		Moreau	
P09	5619716	1997-04-08		Nonaka et al.	
P10	5724595	1998-03-03		Gentner	
P11	6031354	2000-02-29		Wiley et al.	
P12	6438580	2002-08-20		Mears et al.	
P13	6473740	2002-10-29		Cockrill et al.	

## Remarks

(Remarks are not for responding to an office action.)

Applicants submit this Supplemental IDS after issuance of the first office action but prior to a final office action or notice of allowance pursuant to 37 C.F.R. 1.97(c). The certification under 37 CFR 1.97(e) appears hereinabove. Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested. Applicants do not believe that any fee is due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any excess, to Deposit Account No. 14-0629.

## Signature

Examiner Name	Date



2141  
ATTORNEY DOCKET NO.: 22022.0007  
APPLICATION NO. 09/427,811  
Page 1 of 2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of )  
 )  
Kaib *et al.* )  
 )  
Application No.: 09/427,811 ) Group Art Unit: 2141  
 )  
Filed: October 27, 1999 ) Examiner: Mirza, A.  
 )  
For: "SYSTEM AND METHOD FOR ) Confirmation No. 3799  
SCHEDULING HARVESTING OF )  
PERSONAL INFORMATION" )

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MAR 12 2003

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.  
Customer No. 23859

March 5, 2003

Sir:

Submitted herewith on modified form PTO 1449 is a listing of documents known to applicants and/or their attorneys in compliance with the requirements of 37 C.F.R. § 1.56. Copies of these documents are enclosed. As these documents are in Japanese, Applicants have requested that the prosecuting attorney in the Japanese case in which the references were cited prepare an English translation of the abstracts or if no abstracts are present a paragraph in English that describes each reference's relevance to the invention. Pursuant to 37 C.F.R. § 1.98(a)(3), submitted herewith are the results of that request.

This Supplemental IDS is being submitted pursuant to 37 C.F.R. § 1.97(c) after issuance of the first office action on the merits but prior to a final action. The following certification is made pursuant to 37 C.F.R. § 1.97(e).

The undersigned hereby certifies that to the best of his knowledge each item of information contained in this Supplemental IDS was first cited in a communication from the Japanese Patent Office in a counterpart or related Japanese application not more than three months prior to the filing of this Supplemental IDS. To the extent that the Japanese application is related but not deemed a

ATTORNEY DOCKET NO. 22022.0007  
APPLICATION NO. 09/427,811


"counterpart", the undersigned hereby certifies that to the best of his knowledge after making reasonable inquiry, none of the items of information contained in this Supplemental IDS not deemed to have been cited in a counterpart application were known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested.

No fee is believed to be due; however, the Commissioner is hereby authorized to charge any additional fees that may be required, or to credit any overpayment, to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

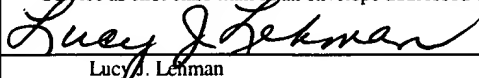


David S. Kerven  
Registration No. 43,712

NEEDLE & ROSENBERG, P.C.  
Customer No. 23859  
404/688-0770  
dkerven@needlerosenberg.com

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and any items indicated as attached or included are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents; Washington, D.C., 20231, on the date shown below.



Lucy J. Lehman

Date

3/5/03